

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



ATTY DOCKET NO.: 9144-5

DATE: April 13, 2000

UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED

JAN 23 2001

OFFICE OF PETITIONS

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)
 of prior application no.: ; filed
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

Michael Brader-Araje, Durham, North Carolina; Dov Cohn, Raleigh, North Carolina;
 Mark Jauquet, Amherst, New York; Richard Racz, Durham, North Carolina; and
 John L. Root, III, Raleigh, North Carolina,

Entitled: **SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS THAT
 FACILITATE PARTICIPATION IN ONLINE AUCTIONS VIA AN INTERMEDIARY
 WEB SITE**

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 60 pages of Specification (including 53 claims)
3. ☒ 12 sheets of Drawings (35 USC 113)
4. ☐ Oath or Declaration
 - a. ☐ newly executed (*original or copy*)
 - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*)) [Note Box 5 Below]
 - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*)
8. ☐ Small Entity Statement(s)
9. ☐ Information Disclosure Statement, PTO-1449, and ____ references cited
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document
12. ☐ Certified Copy of Application No. ; Filed

13. ☐ Sequence Listing/ Sequence Listing Diskette
 a. ☐ computer readable copy
 b. ☐ paper copy
 c. ☐ statement in support
14. ☐ An Associate Power of Attorney
15. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
16. ☒ Other: Application filed under 37 CFR 1.41(c)

The fee has been calculated as shown below:

| | Column 1 No. Filed | Column 2 No. Extra | Small Entity Rate Fee | Large Entity Rate Fee |
|--|-----------------------|-----------------------|--------------------------|--------------------------|
| BASIC FEE | | | \$345.00 | \$690.00 |
| TOTAL CLAIMS | 53 - 20 = | 33 | x 09 = \$ | x 18 = \$ |
| INDEP CLAIMS | 15 - 3 = | 12 | x 39 = \$ | x 78 = \$ |
| <input type="checkbox"/> MULTIPLE Dependent Claims Presented | | | + 130 = \$ | + 260 = \$ |
| If the difference in Col. 1 is less than zero, Enter "0" in Col. 2 | | | Total \$ | Total \$ |

☐ A check in the amount of \$ to cover the filing fee is enclosed.

☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).

☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.
☐ Any additional filing fees required under 37 CFR 1.16.
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Needham J. Boddie II

Needham J. Boddie, II
 Registration No. 40,519

Correspondence Address:
 USPTO Customer Number: 20792
 Myers Bigel Sibley & Sajovec
 Post Office Box 37428
 Raleigh, NC 27627
 Tel (919) 854-1400
 Fax (919) 854-1401

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL533612137US
 Date of Deposit: April 13, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Lucille H. Gillette
 Lucille H. Gillette



EL533612137US

**POST OFFICE
TO ADDRESSEE** **EXPRESS MAIL**
UNITED STATES POSTAL SERVICE™

EL533612137US

| ORIGIN (POSTAL USE ONLY) | | | DELIVERY (POSTAL USE ONLY) | | |
|--|--|--|--|---|--------------------|
| PO ZIP Code | Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second | Flat Rate Envelope <input type="checkbox"/> | Delivery Attempt | Time <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
| Date In Mo. Day Year | <input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM | Postage \$ | Mo. Day | <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
| Time In <input type="checkbox"/> AM <input type="checkbox"/> PM | Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day | Return Receipt Fee | Mo. Day | <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
| Weight lbs. ozs. | Int'l Alpha Country Code | COD Fee Insurance Fee | Mo. Day | <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
| No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | Acceptance Clerk Initials | Total Postage & Fees \$ | Signature of Addressee or Agent | | |
| CUSTOMER USE ONLY | | | X | | |
| METHOD OF PAYMENT: Express Mail Corporate Acct. No. X276081 | | | NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | | |
| Federal Agency Acct. No. or Postal Service Acct. No. | | | Customer Signature | | |
| FROM: (PLEASE PRINT) PHONE (919) 854-1400 | | | TO: (PLEASE PRINT) PHONE () | | |
| MYERS BIGEL SIBLEY & SAJOVEC PO BOX 37428 RALEIGH NC 27627-7428 9144-5 NJB/lhg | | | BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, DC 20231 | | |
| PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov | | | | | |

Mailing Label
Label 11-F July 1997

771/

E02

EL533612137US

RECEIVED

JAN 23 2001

OFFICE OF PETITIONS

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

NJB/lhg

Date: *4-13-00*
Doc. No. *9144-5*
Serial No. *-*
Inventor: *Bradley-Araje*

Sir: Kindly acknowledge receipt of the accompanying items listed below by placing your receiving stamp hereon and return mailing:

☒ Application Transmittal and:

☒ Specification pages *60*

☒ No. of Claims *53*

☐ Declaration & POA

☐ Assignment and Fee

☐ Small Entity Statement

☒ Formal Drawings *12* sheets

☐ Associate Power of Attorney

☒ Exp. Mail ☐ 1st Class Mail

☒ Other: *Application Filed Under 37 CFR 1.41(c)*

Check \$

IDS & PTO-1449 & refs.

Amendment & Amend. Transmittal

Preliminary Amendment

Issue Fee **JC504 U.S. PTO**

Brief **09/549370**

Appeal

Submittal of Priority Documents

04/14/00

Respectfully submitted,
MYERS BIGEL SIBLEY & SAJOVEC, P.A.
Attorneys for Applicant



**Pickup Service Station –
Express Mail, Priority Mail, or Parcel Post**

1. Attach Meter Strip or Stamps Here

2. Customer Name and Address (No., Street, Suite No., City, and State)
MYERS SIGEL SISTER & SONS
111 CORNING RD STE 250
CARY NC 27511-9238

ZIP + 4 2 7 5 1 1 - 9 2 3 8

3.

| | |
|---------------|------------|
| Express Mail | Quantity 6 |
| Priority Mail | Quantity |
| Parcel Post | Quantity |

4. Custom Design Agreement

CDA No.

5. Method of Payment

☒ Corporate Account
No. X276081

☐ Federal Agency Account
No.

☐ Meter Strip or Stamps
(Apply fee in Item 1 above)

6. Express Mail Label Numbers

| Item No. | Express Mail Label Number | Item No. | Express Mail Label Number | Item No. | Express Mail Label Number |
|----------|---------------------------|----------|---------------------------|----------|---------------------------|
| 1 | EL533613185US | 6 | EL533609994US | 11 | |
| 2 | EL533613177US | 7 | | 12 | |
| 3 | EL533612137US | 8 | | 13 | |
| 4 | EL533613163US | 9 | | 14 | |
| 5 | EL533613132US | 10 | | 15 | |

7. Customer Signature

[Signature] 4/13/00

8a. USPS Signature

[Signature]

8b. Date of Pickup

4/13/00

8c. Time of Pickup

2:15 PM

PS Form 5541-C, August 1991

3 - Customer Copy